

## II. CERTIFICATION OF BENEFICIAL OWNER(S)

**Persons opening an account on behalf of a legal entity must provide the following information:**

a. Name and Title of Natural Person Opening Account:

b. Name and Address of Legal Entity for Which the Account is Being Opened:

c. The following information for each individual, if any, who, directly or indirectly, through any contract, arrangement, understanding, relationship or otherwise, owns 25 percent or more of the equity interests of the legal entity listed above:

| Name | Date of Birth | Address | For US Persons:<br>Social Security<br>Number | For Foreign Persons:<br>Passport Number &<br>Country of Issuance<br>or other similar ID<br>Number | %<br>Ownership |
|------|---------------|---------|--|---|----------------|
|      |               |         |  |   |                |
|      |               |         |  |   |                |
|      |               |         |  |   |                |
|      |               |         |  |   |                |

*(If no individual meets this definition, please indicate "Not Applicable")*

Not Applicable

d. The following information for one individual with significant responsibility for managing the legal entity listed above, such as:

An executive officer or senior manager (e.g., Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer); or

Any other individual who regularly performs similar functions.

*(If appropriate, an individual listed under section (c) above may also be listed in this section (d)).*

| Name | Date of Birth | Address | For US Persons:<br>Social Security<br>Number | For Foreign Persons:<br>Passport Number &<br>Country of Issuance<br>or other similar ID<br>Number | Title |
|------|---------------|---------|--|---|-------|
|      |               |         |  |   |       |

I, \_\_\_\_\_ *(name of natural person opening account)*, hereby certify, to the best of my knowledge, that the information provided above is complete and correct.

***You as the customer agree to notify the bank of any change in beneficial ownership information.***

**Signature:** \_\_\_\_\_

**Date:** \_\_\_\_\_ **Acct #** \_\_\_\_\_

*<sup>1</sup>In lieu of a passport number, foreign persons may also provide an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.*